

**1: Problem 1 (Randomized and Distributional Communication Complexity) 25 pts**

Let  $f : \{0, 1\}^n \times \{0, 1\}^n \mapsto \{0, 1\}$  be a 2-party Boolean function.

(1) Show that  $f$  admits a 2-bit randomized (public-coin) communication protocol that succeeds with probability  $\geq 1/2 + 2^{-2n}$ .

(2) Show that for any prior distribution  $\mu$ , there is a 2-bit protocol for  $f$  with success probability  $\geq 1/2 + \text{Disc}_\mu(f)$ , where  $\text{Disc}_\mu(f)$  is the discrepancy of  $f$  w.r.t  $\mu$ .

(3) Show that  $R_{1/3}^{\text{priv}}(f) \geq \lg D(f)$ , where  $D(f)$  is the deterministic communication complexity of  $f$  and  $R_{1/3}^{\text{priv}}(f)$  is the private-coin randomized communication complexity of  $f$  with error  $1/3$ . (For simplicity, you may assume that the probabilities of transmitting 0/1 in any execution of a randomized protocol for  $f$  are rational numbers). Make sure you understand why your argument fails for *public*-coin protocols.

Conclude that  $R_\epsilon^{\text{priv}}(\text{EQUALITY}_n) \geq \Omega(\lg n)$  (which is the maximal separation possible due to Neumann's theorem).

**2: Problem 2 (Internal vs. External Information Complexity) 20 pts**

Recall that the *external information complexity* of a communication protocol  $\pi$  with respect to  $(X, Y) \sim \mu$ , is defined as  $IC_\mu^{\text{ext}}(\pi) := I(\Pi; XY)$  (as usual,  $\Pi$  denotes the (r.v) transcript of  $\pi$ ).

(1) Prove that for any (randomized) protocol  $\pi$  and any distribution  $\mu$ ,  $IC_\mu^{\text{ext}}(\pi) \geq IC_\mu(\pi)$ .

(2) Prove that if  $\mu$  is a *product* distribution ( $\mu(x, y) = \mu(x)\mu(y)$ ), then  $IC_\mu^{\text{ext}}(\pi) = IC_\mu(\pi)$ .

**3: Problem 3 (Indexing Lower Bound via Information Complexity), 25 pts**

Let  $IND_n : \{0, 1\}^n \times [n] \mapsto \{0, 1\}$  denote the Indexing function, in which Alice holds an  $n$ -bit array  $A$ , Bob holds an index  $i \in [n]$ , and the players' goal is to compute  $IND_n(A, i) := A_i$ .

There is a trivial  $\lceil \lg n \rceil$ -bit protocol by having Bob send his index to Alice. But what if *only Alice can speak*? Let us denote by  $R_{1/3}^{A \rightarrow B}(IND_n)$  the *one-way* randomized communication complexity of  $IND_n$ , i.e., the minimum length of a (possibly randomized) message  $|M|$  that Alice can send Bob, which allows Bob to recover  $A_i$  w.p  $\geq 1/3$ . Prove that  $R_{1/3}^{A \rightarrow B}(IND_n) \geq \Omega(n)$ .

(Hint: Recall that if the entries  $A_j$  are independent random variables, then  $\sum_j I(A_j; M) \leq I(A_1, \dots, A_n; M)$ . This should guide you how to find the hard distribution  $(A, i) \sim \mu$ . Then use Fano's inequality).

**4: Problem 4, An  $\Omega(\sqrt{n})$  LB for DISJ under product distributions, 30 pts**

In this exercise, we will use a simplified version of the  $\Omega(n)$  randomized Set-Disjointness lower

bound we saw in class, to prove that the distributional communication complexity of  $DISJ_n$  with respect to *product distributions* is  $\Omega(\sqrt{n})$ . To this end, let  $\mu$  denote the distribution on  $(X_i, Y_i)$ , in which  $X_i$  and  $Y_i$  are i.i.d  $Ber(1/\sqrt{n})$ , and let us consider the product distribution  $(X, Y) \sim \mu^n$  on inputs  $X := X_1, \dots, X_n, Y := Y_1, \dots, Y_n \subseteq [n]$ . A simple calculation shows that  $\Pr_{\mu^n}[X \cap Y = \emptyset] \approx 1/e$ , so for large enough  $n$ , the distribution is roughly “unbiased”. We shall show that

$$D_{\mu^n}^{1/3}(DISJ_n) \geq \Omega(\sqrt{n}) :$$

(1) Let  $\Pi$  be an optimal (deterministic) protocol for  $DISJ_n$  under  $\mu^n$  (recall that we may indeed assume  $\Pi$  is deterministic by an averaging principle). Use  $\Pi$  in order to design a (randomized) protocol  $\pi$  for the 2-bit  $AND(a, b)$  function s.t: (i)  $\forall (a, b) \in (\{0, 1\})^2 \quad \Pr_{\pi}[\pi(a, b) = AND(a, b)] \geq \Omega(1)$ , and (ii) When  $(A, B) \sim \mu$ , then  $I_{\mu}(\pi; A, B) \leq \|\Pi\|/n$ . (note that, since  $\mu$  is *product*, the “embedding” is simpler as the players can *privately* complete the remaining coordinates to  $\Pi$ ).

(2) Let  $\pi_{ab}$  denote the distribution of (the transcript of)  $\pi$  when  $A = a, B = b$ . Use part (1.i) to prove that : (i)  $\Delta(\pi_{00}, \pi_{11}) \geq \Omega(1)$  (where  $\Delta(\cdot, \cdot)$  is the total variation distance). (ii) Use part (1.ii) + Pinsker’s inequality to prove that:  $\mathbb{E}_{(a,b) \sim \mu}[\Delta^2(\pi_{a,b}, \pi) \leq O(\|\Pi\|/n)]$ , where  $\pi$  here denotes the prior distribution of the transcript  $\pi(A, B)$ , where  $(A, B) \sim \mu$ .

(3) Use the definition of  $\mu$  to argue that part (2.ii) implies  $\Delta^2(\pi_{10}, \pi) \leq O(\|\Pi\|/\sqrt{n})$ , and similarly  $\Delta^2(\pi_{01}, \pi) \leq O(\|\Pi\|/\sqrt{n})$ . Now conclude that

$$\Delta^2(\pi_{10}, \pi_{01}) \leq O(\|\Pi\|/\sqrt{n}).$$

(4) On the other hand, use part (2.i) and the “Cut-and-Paste” Lemma from class, to prove that  $\Delta^2(\pi_{10}, \pi_{01}) \geq \Omega(1)$ .

(5) Conclude by (3) and (4) that  $\|\Pi\| \geq \Omega(\sqrt{n})$ .

### 5: Problem 5, (Parity Game), 25 pts

Alice and Bob play the following communication game  $G_{\oplus}(x, y)$ : The players receive  $n$ -bit strings  $x, y$  respectively, s.t  $Parity(x) = \bigoplus_{i=1}^n x_i = 0$ , and  $Parity(y) = \bigoplus_{i=1}^n y_i = 1$ . Note that this implies that  $x \neq y$ . The goal of Alice and Bob is to *deterministically* find a coordinate  $i \in [n]$  s.t  $x_i \neq y_i$  (both players must know such  $i$  by the end of the protocol). Show that any deterministic protocol that solves  $G_{\oplus}$  must spend  $2 \lg(n)$  bits of communication.

(Hint: Design a hard distribution  $\mu$  and then show that the information learnt by each player from any  $\Pi$  that solves the game under  $\mu$  must be large).